

# Form 603

Corporations Act 2001

Section 671B

## Notice of initial substantial holder

To Company Name/Scheme PEPINNINI MINERALS LIMITED

ACN/ARSN ACN 101 714 989

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder became a substantial holder on 22-Jan-2021

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Common Stock	2,025,051	2,025,051	5.25%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Voting rights and right to dispose	2,025,051 Common Stock

### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	2,025,051 Common Stock

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Credit Suisse Equities (Australia) Limited	22-Oct-20	36,141.09 AUD		145,000 Common Stock
Credit Suisse Equities (Australia) Limited	22-Oct-20	4,500.0 AUD		17,000 Common Stock
Credit Suisse Equities (Australia) Limited	23-Oct-20	14,689.13 AUD		74,993 Common Stock

Credit Suisse Equities (Australia) Limited	26-Oct-20	5,312.50 AUD		-19,500 Common Stock
Credit Suisse Equities (Australia) Limited	26-Oct-20	25,749.25 AUD		-95,000 Common Stock
Credit Suisse Equities (Australia) Limited	27-Oct-20	550.0 AUD		2,500 Common Stock
Credit Suisse Equities (Australia) Limited	28-Oct-20	1,048.53 AUD		-4,993 Common Stock
Credit Suisse Equities (Australia) Limited	28-Oct-20	861.40 AUD		4,307 Common Stock
Credit Suisse Equities (Australia) Limited	29-Oct-20	1,100.0 AUD		5,000 Common Stock
Credit Suisse Equities (Australia) Limited	29-Oct-20	1,575.0 AUD		-7,500 Common Stock
Credit Suisse Equities (Australia) Limited	30-Oct-20	4,054.47 AUD		-19,307 Common Stock
Credit Suisse Equities (Australia) Limited	30-Oct-20	8,500.0 AUD		50,000 Common Stock
Credit Suisse Equities (Australia) Limited	30-Oct-20	6,500.0 AUD		35,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Nov-20	2,040.63 AUD		-10,000 Common Stock
Credit Suisse Equities (Australia) Limited	3-Nov-20	3,400.0 AUD		-20,000 Common Stock
Credit Suisse Equities (Australia) Limited	4-Nov-20	260.0 AUD		2,000 Common Stock
Credit Suisse Equities (Australia) Limited	4-Nov-20	1,761.37 AUD		13,549 Common Stock
Credit Suisse Equities (Australia) Limited	4-Nov-20	1,650.0 AUD		-10,000 Common Stock
Credit Suisse Equities (Australia) Limited	5-Nov-20	20,530.53 AUD		-125,000 Common Stock
Credit Suisse Equities (Australia) Limited	9-Nov-20	2,565.59 AUD		-15,549 Common Stock
Credit Suisse Equities (Australia) Limited	11-Nov-20	525.0 AUD		-3,000 Common Stock
Credit Suisse Equities (Australia) Limited	12-Nov-20	5,400.0 AUD		30,000 Common Stock
Credit Suisse Equities (Australia) Limited	16-Nov-20	4,040.0 AUD		-22,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Nov-20	1,561.47 AUD		10,074 Common Stock
Credit Suisse Equities (Australia) Limited	18-Nov-20	9.92 AUD		64 Common Stock
Credit Suisse Equities (Australia) Limited	20-Nov-20	1,528.61 AUD		9,862 Common Stock
Credit Suisse Equities (Australia) Limited	27-Nov-20	7,750.0 AUD		-50,000 Common Stock

Credit Suisse Equities (Australia) Limited	22-Dec-20	98,794.44 AUD		418,938 Common Stock
Credit Suisse Equities (Australia) Limited	22-Dec-20	158,239.44 AUD		578,068 Common Stock
Credit Suisse Equities (Australia) Limited	23-Dec-20	383,356.27 AUD		1,422,276 Common Stock
Credit Suisse Equities (Australia) Limited	23-Dec-20	89,576.92 AUD		335,540 Common Stock
Credit Suisse Equities (Australia) Limited	24-Dec-20	58,890.61 AUD		-230,871 Common Stock
Credit Suisse Equities (Australia) Limited	24-Dec-20	120,790.90 AUD		-449,218 Common Stock
Credit Suisse Equities (Australia) Limited	24-Dec-20	20,731.40 AUD		86,157 Common Stock
Credit Suisse Equities (Australia) Limited	24-Dec-20	923.54 AUD		3,791 Common Stock
Credit Suisse Equities (Australia) Limited	29-Dec-20	329,034.66 AUD		-1,211,126 Common Stock
Credit Suisse Equities (Australia) Limited	29-Dec-20	79,001.26 AUD		-285,156 Common Stock
Credit Suisse Equities (Australia) Limited	29-Dec-20	46,957.62 AUD		156,375 Common Stock
Credit Suisse Equities (Australia) Limited	29-Dec-20	7,500.0 AUD		25,000 Common Stock
Credit Suisse Equities (Australia) Limited	30-Dec-20	907.21 AUD		-3,791 Common Stock
Credit Suisse Equities (Australia) Limited	30-Dec-20	87,154.47 AUD		-381,402 Common Stock
Credit Suisse Equities (Australia) Limited	30-Dec-20	111,061.27 AUD		338,575 Common Stock
Credit Suisse Equities (Australia) Limited	30-Dec-20	71,125.19 AUD		222,806 Common Stock
Credit Suisse Equities (Australia) Limited	31-Dec-20	41,571.17 AUD		-147,130 Common Stock
Credit Suisse Equities (Australia) Limited	31-Dec-20	2,850.0 AUD		-10,000 Common Stock
Credit Suisse Equities (Australia) Limited	31-Dec-20	88,722.0 AUD		230,622 Common Stock
Credit Suisse Equities (Australia) Limited	31-Dec-20	23,239.95 AUD		60,591 Common Stock
Credit Suisse Equities (Australia) Limited	4-Jan-21	45,541.67 AUD		-147,000 Common Stock
Credit Suisse Equities (Australia) Limited	4-Jan-21	42,453.55 AUD		-135,372 Common Stock
Credit Suisse Equities (Australia) Limited	4-Jan-21	59,551.28 AUD		164,365 Common Stock
Credit Suisse Equities (Australia) Limited	4-Jan-21	3,500.0 AUD		10,000 Common Stock

Credit Suisse Equities (Australia) Limited	5-Jan-21	42,003.19 AUD	-115,372 Common Stock
Credit Suisse Equities (Australia) Limited	5-Jan-21	24,402.0 AUD	-64,300 Common Stock
Credit Suisse Equities (Australia) Limited	5-Jan-21	3,400.0 AUD	10,000 Common Stock
Credit Suisse Equities (Australia) Limited	5-Jan-21	14,930.72 AUD	43,734 Common Stock
Credit Suisse Equities (Australia) Limited	6-Jan-21	49,241.95 AUD	-131,654 Common Stock
Credit Suisse Equities (Australia) Limited	6-Jan-21	4,437.50 AUD	-12,500 Common Stock
Credit Suisse Equities (Australia) Limited	6-Jan-21	1,364.50 AUD	4,100 Common Stock
Credit Suisse Equities (Australia) Limited	6-Jan-21	27,768.28 AUD	81,054 Common Stock
Credit Suisse Equities (Australia) Limited	7-Jan-21	43,224.04 AUD	-132,951 Common Stock
Credit Suisse Equities (Australia) Limited	7-Jan-21	18,722.40 AUD	-53,164 Common Stock
Credit Suisse Equities (Australia) Limited	7-Jan-21	1,256.25 AUD	3,750 Common Stock
Credit Suisse Equities (Australia) Limited	7-Jan-21	124,053.21 AUD	365,000 Common Stock
Credit Suisse Equities (Australia) Limited	8-Jan-21	106,234.73 AUD	-312,934 Common Stock
Credit Suisse Equities (Australia) Limited	8-Jan-21	3,400.0 AUD	-10,000 Common Stock
Credit Suisse Equities (Australia) Limited	8-Jan-21	1,450.0 AUD	4,000 Common Stock
Credit Suisse Equities (Australia) Limited	8-Jan-21	26,907.05 AUD	75,880 Common Stock
Credit Suisse Equities (Australia) Limited	11-Jan-21	3,636.09 AUD	-10,854 Common Stock
Credit Suisse Equities (Australia) Limited	11-Jan-21	862.50 AUD	-2,500 Common Stock
Credit Suisse Equities (Australia) Limited	11-Jan-21	1,009.50 AUD	3,100 Common Stock
Credit Suisse Equities (Australia) Limited	11-Jan-21	21,807.64 AUD	65,332 Common Stock
Credit Suisse Equities (Australia) Limited	12-Jan-21	1,782.49 AUD	-5,041 Common Stock
Credit Suisse Equities (Australia) Limited	12-Jan-21	21,820.0 AUD	-65,000 Common Stock
Credit Suisse Equities (Australia) Limited	12-Jan-21	45,210.31 AUD	131,681 Common Stock
Credit Suisse Equities (Australia) Limited	12-Jan-21	36,429.37 AUD	105,222 Common Stock

Credit Suisse Equities (Australia) Limited	13-Jan-21	27,943.73 AUD		-86,471 Common Stock
Credit Suisse Equities (Australia) Limited	13-Jan-21	30,021.97 AUD		83,426 Common Stock
Credit Suisse Equities (Australia) Limited	13-Jan-21	517.50 AUD		1,500 Common Stock
Credit Suisse Equities (Australia) Limited	14-Jan-21	8,115.03 AUD		-23,822 Common Stock
Credit Suisse Equities (Australia) Limited	14-Jan-21	15,498.84 AUD		-48,422 Common Stock
Credit Suisse Equities (Australia) Limited	14-Jan-21	8,892.28 AUD		26,614 Common Stock
Credit Suisse Equities (Australia) Limited	15-Jan-21	33,791.23 AUD		-94,426 Common Stock
Credit Suisse Equities (Australia) Limited	15-Jan-21	15,728.66 AUD		48,897 Common Stock
Credit Suisse Equities (Australia) Limited	15-Jan-21	3,150.0 AUD		10,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Jan-21	36,875.0 AUD		-110,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Jan-21	1,450.0 AUD		5,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Jan-21	30,353.23 AUD		97,029 Common Stock
Credit Suisse Equities (Australia) Limited	19-Jan-21	5,190.45 AUD		-16,186 Common Stock
Credit Suisse Equities (Australia) Limited	19-Jan-21	24,015.42 AUD		77,783 Common Stock
Credit Suisse Equities (Australia) Limited	20-Jan-21	45,807.42 AUD		-154,269 Common Stock
Credit Suisse Equities (Australia) Limited	20-Jan-21	11,630.0 AUD		-37,000 Common Stock
Credit Suisse Equities (Australia) Limited	20-Jan-21	3,475.0 AUD		10,000 Common Stock
Credit Suisse Equities (Australia) Limited	20-Jan-21	45,288.46 AUD		130,993 Common Stock
Credit Suisse Equities (Australia) Limited	21-Jan-21	53,747.28 AUD		-170,925 Common Stock
Credit Suisse Equities (Australia) Limited	21-Jan-21	1,999.50 AUD		-6,600 Common Stock
Credit Suisse Equities (Australia) Limited	21-Jan-21	63,135.0 AUD		139,500 Common Stock
Credit Suisse Equities (Australia) Limited	21-Jan-21	89,709.93 AUD		208,198 Common Stock
Credit Suisse Equities (Australia) Limited	22-Jan-21	24,680.88 AUD		-71,745 Common Stock
Credit Suisse Equities (Australia) Limited	22-Jan-21	1,722.50 AUD		-5,000 Common Stock

